

# Internal Appeals Procedures 2023/24

#### Appeals against internal assessment decisions (centre assessed marks)

Certain GCSE and other qualifications contain components of non-examination assessment (or units of coursework) which are internally assessed (marked) by the Centre and internally standardised. The marks awarded (the internal assessment decisions) which contribute to the final grade of the qualification are then submitted by the deadline set by the awarding body for external moderation.

#### Deadlines for the 2024 Examinations series:

Subject	Qualification	Awarding Body	Internal Student Submission Deadline	Staff Internal Deadline	Awarding Body Deadline
Art & Design – Fine Art	GCSE	AQA	16th Feb 2024	14th May 2024	31 <sup>st</sup> May 2024
Art & Design - Textiles	GCSE	AQA	26 <sup>th</sup> Feb 2024	14 <sup>th</sup> May 2024	31 <sup>st</sup> May 2024
Dance	ВТЕС	Edexcel	5 <sup>th</sup> Dec 2023	18 <sup>th</sup> Dec 2023	8 <sup>th</sup> Jan 2024
Design Technology	GCSE	Edexcel	26 <sup>th</sup> April 2024	13 <sup>th</sup> May 2024	24 <sup>th</sup> May 2024 extension
Drama	GCSE	AQA	19 <sup>th</sup> April 2024	30 <sup>th</sup> April 2024	21 <sup>st</sup> May 2024 extension
Electronics	GCSE	WJEC	31 <sup>st</sup> Jan 2024	22 <sup>nd</sup> April 2024	5 <sup>th</sup> May 2024
English (Spoken Endorsement)	GCSE	AQA	Sat in Y9	April 2024	7 <sup>th</sup> May 2024
Food & Nutrition	GCSE	WJEC	NEA1: 9 <sup>th</sup> Oct 2023 NEA2: 26 <sup>th</sup> Feb 2024	6 <sup>th</sup> Nov 2023 22 <sup>nd</sup> April 2024	5 <sup>th</sup> May 2024
Health & Social Care	Cam/Nat	OCR	28 <sup>th</sup> March 2024	22 <sup>nd</sup> April 2024	15 <sup>th</sup> May 2024
Music	GCSE	AQA	Perf: 15 <sup>th</sup> March 2024 Comp: 4 <sup>th</sup> April 2024	15 <sup>th</sup> April 2024 3 <sup>rd</sup> May 2024	21st June 2024 extension
PE*	GCSE	OCR	AEP 13th October 2023 Practical 11th Feb 2024	5 <sup>th</sup> Feb 2024 8 <sup>th</sup> Feb 2024	15 <sup>th</sup> March 2024
Sports Studies	Cam/Nat	OCR	Dec 20 <sup>th</sup> 2024	28 <sup>th</sup> March 2024	15 <sup>th</sup> May 2024
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The Gilberd School is committed to ensuring that whenever staff mark candidates' work, this is done fairly, consistently and in accordance with the awarding body's specification and subject-specific associated documents.

Candidates' work will be marked by staff who have appropriate knowledge, understanding and skill, in line with the requirements/marking criteria of the exam board. Where a few subject teachers are involved in marking work, internal moderation and standardisation will ensure consistency of marking.

On being informed of their centre assessed marks, if a candidate believes that the above procedures were not followed, or that the marking standards have not been properly applied, then he/she may make use of the appeals procedure below to consider whether to request a review of the centre's marking within **2 working days**.

#### The Gilberd School will

- 1. ensure that candidates are informed of their centre assessed marks so that they may request a review of the centre's marking before marks are submitted to the awarding body.
- 2. inform candidates that they will need to explain on what grounds they wish to request a review of an internally assessed mark as a review will only focus on the quality of their work in meeting the published assessment criteria.
- 3. inform candidates that they may request copies of materials (e.g. a **copy** of their marked work (not the original), the mark scheme or assessment criteria) to assist them in considering whether to request a review of the centre's marking of the assessment.
- 4. having received a request for copies of materials, promptly make them available to the candidate (or for some marked assessment materials, such as art work and recordings, the candidate can access these under supervised conditions only) within **2 working days**.
- 5. provide candidates with sufficient time in order to allow them to review copies of materials and reach a decision, informing candidates that if their decision is to request a review, they will need to explain what they believe the issue to be and submit this in writing within **3 working days** of receipt of 4 above.
- 6. allow **3 working days** for the review to be carried out, to make any necessary changes to marks and to inform the candidate of the outcome, before the awarding body's deadline for the submission of mark
- 7. ensure that the review of marking is conducted by an assessor who has appropriate competence, has had no previous involvement in the assessment of that candidate and has no personal interest in the review.
- 8. instruct the reviewer to ensure that the candidate's mark is consistent with the standard set by the centre.
- 9. inform the candidate in writing of the outcome of the review of the centre's marking.

The outcome of the review of the centre's marking will be made known to the Head of Centre who will have the final decision if there is any disagreement on the mark to be submitted to the awarding body. A written record of the review will be kept and made available to the awarding body upon request.

The awarding body will be informed if the centre does not accept the outcome of a review of the centre's marking.

The moderation process carried out by the awarding bodies may result in a mark change, either upwards or downwards, even after an internal review. The internal review process is in place to ensure consistency of marking within the centre, whereas moderation by the awarding body ensures that centre marking is in line with national standards. The mark submitted to the awarding body is subject to change and should therefore be considered provisional.

# 2. Appeals against the centre's decision not to support a clerical re-check, a review of marking, a review of moderation or an appeal

This procedure confirms The Gilberd School compliance with JCQ's **General Regulations for Approved Centres** (section 5.13) that the centre will:

have available for inspection purposes and draw to the attention of candidates and their parents/carers, a
written internal appeals procedure to manage disputes when a candidate disagrees with a centre decision not
to support a clerical re-check, a review of marking, a review of moderation or an appeal.

Following the issue of results, awarding bodies make post-results services available. Full details of these services, internal deadlines for requesting a service and fees charged are provided by the exams officer.

Candidates are also made aware of the arrangements for post-results services and the availability of senior members of centre staff immediately after the publication of results, **before** they sit any exams by the instructions in our exams student guide and via the assembly. This information is also given when students collect their examination results and it is made available on the school's website.

If the centre or a candidate (or his/her parent/carer) has a concern and believes a result may not be accurate, post-results services may be considered.

The JCQ post-results services currently available are detailed below.

#### Reviews of Results (RoRs):

- Service 1 (Clerical re-check)
   This is the only service that can be requested for objective tests (multiple choice tests)
- Service 2 (Review of marking)
- Service 3 (Review of moderation)
   This service is not available to an individual candidate

#### Access to Scripts (ATS):

- Copies of scripts to support reviews of marking
- Copies of scripts to support teaching and learning

Where a concern is expressed that a particular result may not be accurate, the centre will look at the marks awarded for each component part of the qualification alongside any mark schemes, relevant result reports, grade boundary information etc. when made available by the awarding body to determine if the centre supports any concerns.

For written components that contributed to the final result, the centre will:

- 1. In all other instances, consider accessing the script by:
  - a) (where the service is made available by the awarding body) requesting a priority copy of the candidate's script to support a review of marking by the awarding body deadline or
  - b) (where the option is made available by the awarding body) viewing the candidate's marked script online to consider if requesting a review of marking is appropriate
- 2. Collect informed written consent/permission from the candidate to access his/her script
- 3. On access to the script, consider if it is felt that the agreed mark scheme has been applied correctly in the original marking and if the centre considers there are any errors in the marking
- 4. Support a request for the appropriate RoR service (clerical re-check or review of marking) if any error is identified]
- 5. Collect informed written consent from **the candidate** (not the parent) to request the RoR service before the request is submitted

Written candidate consent (informed consent via candidate email is acceptable) is required in all cases before a request for a RoR service 1 or 2 is submitted to the awarding body. Consent is required to confirm the candidate understands that the final subject grade and/or mark awarded following a clerical re-check or a review of marking, and any subsequent appeal, **may be lower than**, higher than, or the same as the result which was originally awarded.

Candidate consent must only be collected after the publication of results.

For any moderated components that contributed to the final result, the centre will:

- Confirm that a review of moderation cannot be undertaken on the work of an individual candidate or the work of candidates not in the original sample submitted for moderation
- Consult the moderator's report/feedback to identify any issues raised
- Determine if the centre's internally assessed marks have been accepted without change by the awarding body if this is the case, a RoR service 3 (Review of moderation) will not be available
- Determine if there are any grounds to submit a request for a review of moderation for the work of all candidates in the original sample.

Where a candidate disagrees with a centre decision not to support a clerical re-check, a review of marking or a review of moderation, the centre will:

- For a review of marking (RoR priority service 2), advise the candidate he/she may request the review by providing informed written consent (and the required fee) for this service to the centre by the deadline set by the centre
- For a review of marking (RoR service 1 or 2), first advise the candidate to access a copy of his/her script to support a review of marking by providing written permission for the centre to access the script (and any required fee for this service) for the centre to submit this request
- After accessing the script to consider the marking, inform the candidate that if a request for a review of
  marking (RoR service 1 or 2) is required, this must be submitted by the deadline set by the centre by providing
  informed written consent (and the required fee for this service) for the centre to submit this request
- Inform the candidate that a review of moderation (RoR service 3) cannot be requested for the work of an individual candidate or the work of a candidate not in the original sample.
- If the candidate (or his/her parent/carer) believes there are grounds to appeal against the centre's decision not to support a review of results, an internal appeal can be submitted to the centre by completing the internal appeals form at least [10 calendar days] prior to the internal deadline for submitting a request for a review of results.

The appellant will be informed of the outcome of his/her appeal before the internal deadline for submitting a RoR

Following the RoR outcome, an external appeals process is available if the head of centre remains dissatisfied with the outcome and believes there are grounds for appeal. The JCQ publications **Post-Results Services** and **JCQ Appeals Booklet** (A guide to the awarding bodies' appeals processes) will be consulted to determine the acceptable grounds for a preliminary appeal.

Where the head of centre is satisfied after receiving the RoR outcome, but the candidate (or his/her parent/carer) believes there are grounds for a preliminary appeal to the awarding body, a further internal appeal may be made to the head of centre. Following this, the head of centre's decision as to whether to proceed with a preliminary appeal will be based upon the acceptable grounds as detailed in the **JCQ Appeals Booklet**. Candidates or parents/carers are not permitted to make direct representations to an awarding body.

The **internal appeals form** should be completed and submitted to the centre within [10 calendar days] of the notification of the outcome of the RoR. Subject to the head of centre's decision, this will allow the centre to process the preliminary appeal and submit to the awarding body within the required **30 calendar days** of the awarding body issuing the outcome of the review of results process. Awarding body fees which may be charged for the preliminary appeal must be paid to the centre by the appellant before the preliminary appeal is submitted to the awarding body (fees are available from the exams officer). If the appeal is upheld by the awarding body, this fee will be refunded by the awarding body and repaid to the appellant by the centre.

#### 3. Appeals regarding centre decisions relating to access arrangements and special consideration

This procedure confirms The Gilberd School's compliance with JCQ's **General Regulations for Approved Centres** (section 5.3x) that the centre will:

 have in place and available for inspection a written internal appeals procedure which must cover at least appeals regarding centre decisions relating to access arrangements and special consideration.

The Gilberd school will:

- comply with the principles and regulations governing access arrangements and special consideration as set out in the JCQ publications Access Arrangements and Reasonable Adjustments and A guide to the special consideration process
- ensure that all staff who manage and implement access arrangements and special consideration are aware of the requirements and are appropriately supported and resourced.

#### Access arrangements and reasonable adjustments

In accordance with the regulations, The Gilberd School:

- recognises its duty to explore and provide access to suitable courses, through the access arrangements
  process submit applications for reasonable adjustments and make reasonable adjustments to the service the
  centre provides to disabled candidates.
- complies with its responsibilities in identifying, determining and implementing appropriate access arrangements and reasonable adjustments

Failure to comply with the regulations have the potential to constitute malpractice which may impact on a candidate's result(s).

Examples of failure to comply include:

- putting in place access arrangements/adjustments that are not approved
- failing to consider putting in place access arrangements (which may be a failure to comply with the duty to make reasonable adjustments)
- permitting access arrangements/adjustments within the centre which are not supported by appropriate evidence
- charging a fee for providing reasonable adjustments to disabled candidates AARA (Importance of these regulations)

#### **Special consideration**

Where The Gilberd School can provide signed evidence to support an application, it will apply for special consideration at the time of the assessment for a candidate who has temporarily experienced illness, injury or some other event outside of their control when the issue or event has had, or is reasonably likely to have had, a material effect on the candidate's ability to take an assessment or demonstrate his or her normal level of attainment in an assessment.

#### Centre decisions relating to access arrangements, reasonable adjustments and special consideration

This may include The Gilberd School's decision not to make/apply for a specific reasonable adjustment or to apply for special consideration, in circumstances where a candidate does not meet the criteria for, or there is no evidence/insufficient evidence to support the implementation of an access arrangement/reasonable adjustment or the application of special consideration.

Where The Gilberd School makes a decision in relation to the access arrangement(s), reasonable adjustment(s) or special consideration that apply for a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer) disagrees with the decision made and reasonably believes that the centre has not complied with its responsibilities or followed due procedures, a written request setting out the grounds for appeal should be submitted
- An internal appeals form should be completed and submitted within 5 calendar/working days of the decision being made known to the appellant).

To determine the outcome of the appeal, the head of centre will consult the respective JCQ publication to confirm the centre has complied with the principles and regulations governing access arrangements and/or special consideration and followed due procedures.

The appellant will be informed of the outcome of the appeal within 5 calendar/working days of the appeal being received and logged by the centre.

If the appeal is upheld, The Gilberd School will proceed to implement the necessary arrangements/submit the necessary application.

#### 4. Appeals regarding centre decisions relating to other administrative issues

Circumstances may arise that cause The Gilberd to make decisions on administrative issues that may affect a candidate's examinations/assessments.

Where The Gilberd School may make a decision that affects a candidate or candidates:

- If a candidate who is the subject of the relevant decision (or the candidate's parent/carer) disagrees with the decision made and reasonably believes that the centre has not complied the regulations or followed due process, a written request setting out the grounds for appeal should be submitted
- An internal appeals form should be completed and submitted within 5 calendar/working days of the decision being made known to the appellant).

The appellant will be informed of the outcome of the appeal within 5 calendar/working days of the appeal being received and logged by the centre.

Internal Appeals f	orm			
			Date received	
Please tick box to indicate the nature of your appeal and complete all white boxes* on the form below			Reference No.	
<ul><li>□ Appeal against moderation or</li><li>□ Appeal against</li><li>□ Appeal against</li></ul>	an internal assessment decision the centre's decision not to supp an appeal the centre's decision relating to the centre's decision relating to of the appeal does not relate direct	oort a clerical re-chec access arrangements an administrative issu	k, a review of ma or special considue	rking, a review of eration
warding body speci		, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,	,
Name of appellant		Candidate name (if different to appellant)		
Awarding body		Exam paper code		
Qualification type Subject		Exam paper title		
	ds for your appeal below:			
(If applicable, tick below)				
	is against an internal assessment decision of necessary, continue on an additional page if			
Appellant signature:			Date of s	signature:

FOR CENTRE USE ONLY

# **Complaints and Appeals log**

The outcome of any review of the centre's marking will be made known to the head of centre. A written record of the review will be kept and logged as an appeal, so information can be easily made available to an awarding body upon request. The awarding body will be informed if the centre does not accept the outcome of a review – this will be noted on this log.

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date

### Further guidance to inform and implement appeals procedures

#### **JCQ** publications

- General Regulations for Approved Centres https://www.jcq.org.uk/exams-office/general-regulations
- Post-Results Services
   https://www.jcq.org.uk/exams-office/post-results-services
- JCQ Appeals Booklet (A guide to the awarding bodies' appeals processes)
   https://www.jcq.org.uk/exams-office/appeals
- Notice to Centres Informing candidates of their centre assessed marks https://www.jcq.org.uk/exams-office/non-examination-assessments
- Suspected Malpractice: Policies and Procedures https://www.jcq.org.uk/exams-office/malpractice/
- Access Arrangements and Reasonable Adjustments https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/
- A guide to the special consideration process https://www.jcq.org.uk/exams-office/access-arrangements-and-special-consideration/regulations-and-guidance/

#### **Ofqual publications**

- GCSE (9 to 1) qualification-level conditions and requirements https://www.gov.uk/government/publications/gcse-9-to-1-qualification-level-conditions
- GCE qualification-level conditions and requirements https://www.gov.uk/government/publications/gce-qualification-level-conditions-and-requirements

# **Complaints and Appeals log**

On receipt, all complaints/appeals are assigned a reference number and logged. Outcome and outcome date is also recorded.

The outcome of any review of the centre's marking will be made known to the head of centre. The Gilberd School's process to confirm how a written record of the review will be kept (as example... A written record of the review will be kept and logged as an appeal, so information can be easily made available to an awarding body upon request. The awarding body will be informed if the centre does not accept the outcome of a review – this will be noted on this log.

Ref No.	Date received	Complaint or Appeal	Outcome	Outcome date